

# 历史社会学

2020 年冬季学期 (2020.11-2021.1)

上课时间：周二晚 18:30-21:45

教师：郦菁（社会学系）

Email: jingli718@zju.edu.cn

地点：紫金港校区 西 2-502

答疑时间：周二下午 16:00-17:30

办公室：紫金港校区行政楼 1506-5

## 课程要求(100%)：

- 1) 在课前完成指定的阅读材料。本课程不另外点名，将在课堂上以随机回答问题的方式，来检测学生是否出勤，是否完成阅读。每缺勤一次扣两分，连续缺勤三次以上做零分处理。如有特殊原因请事先通过邮件请假。课堂发言踊跃、认真阅读课程材料的同学将获得加分。(10%)
- 2) 在课前根据阅读内容提交一份个人的阅读笔记，大约一页左右。总共请提交三次。截止时间为每周一晚上 12:00 之前，请发在学在浙大平台。内容主要是对阅读的总结，理论的比较，并提出批评、有待讨论的问题和个人的困惑之处 (10 × 3=30%)
- 3) 课堂展示：个人对指定课程内容展示，至少一次。(10%)
- 4) 期末论文：某一个特定议题的研究设计，包括简要的文献综述、研究议题和研究方法。课程鼓励展开实证研究。(50%)

## 阅读材料：

阅读材料请见浙大网盘分享：

<https://pan.zju.edu.cn/share/f6cd56791c8483e92fb9a29012>

## 课程安排：

1) 11月24日：历史社会学简介

参考文献

Lachmann, Richard. *What is historical sociology?*. Polity, 2013. (有中文译本)

2) 9月19日：国家建构 (1)

必读材料：

Ch1-3, Ch5 from Tilly, Charles. *Coercion, Capital, and European States, Ad 990-1990*. Cambridge: Blackwell, 1990. (中文译本翻译极差，不建议阅读)

参考文献：

Introduction, ch2-3, ch4-5 from Spruyt, Hendrik. *The Sovereign State and Its Competitors: An Analysis of Systems Change*. Princeton, N.J.: Princeton University Press, 1994.

Anderson, Perry. *Lineages of the Absolutist State*. Verso Books, 2013. (有中文译本)

Lachmann, Richard. *Capitalists in spite of themselves: Elite conflict and economic transitions in early modern Europe*. Oxford University Press, 2000. (有中文译本)

- Ertman, Thomas. *Birth of the Leviathan: Building states and regimes in medieval and early modern Europe*. Cambridge University Press, 1997. (有中文译本)
- Downing, Brian. *The military revolution and political change: Origins of democracy and autocracy in early modern Europe*. Princeton University Press, 1993.
- Kaspersen, Lars Bo, and Jeppe Strandsbjerg, eds. *Does War Make States?: Investigations of Charles Tilly's Historical Sociology*. Cambridge University Press, 2017.
- Abramson, Scott F. "The economic origins of the territorial state." *International Organization* 71.1 (2017): 97-130.

### 3) 12月1日：国家建构（2）

必读材料：

Introduction, ch1, 4-9, 11 from Zhao, Dingxin. *Confucian-Legalist State: A New Theory of Chinese History*. Oxford: Oxford University Press. 2015.

参考文献：

[美] 孔飞力著, 陈兼、刘昶译, 《叫魂：1768年中国妖术大恐慌》, 上海三联书店 2012 年出版。

[美] 孔飞力著, 陈兼、陈之宏译, 《中国现代国家的起源》, 三联书店 2013 年出版。

Wong R B. *China transformed: historical change and the limits of European experience*[M]. Cornell University Press, 1997.

Hui, Victoria Tin-bor. "Toward a dynamic theory of international politics: insights from comparing ancient China and early modern Europe." *International Organization* 58.1 (2004): 175-205.

赵鼎新. 哲学、历史和方法——我的回应[J]. 开放时代, 2016(5).

郦菁. 历史比较视野中的国家建构——找回结构、多元性并兼评《儒法国家:中国历史的新理论》[J]. 开放时代, 2016(5).

殷之光. “大一统”格局与中国两种延续性背后的普遍主义——评《儒法国家:中国历史的新理论》[J]. 开放时代, 2016(5).

迈克尔·曼等. 再评《儒法国家》[J]. 开放时代, 2019 (4).

赵鼎新. 儒法国家与基于理想类型集的理论建构[J]. 开放时代, 2019 (4).

### 4) 12月8日：民族建构与民族主义

必读材料：

Greenfeld, Liah. *Nationalism: Five Roads to Modernity*. Cambridge, Mass.: Harvard University Press, 1992. 请阅读：intro, 四个国家中任选两个

参考文献：

(英)安东尼·史密斯著, 叶江译, 《民族主义：理论，意识形态，历史》, 上海：上海人民出版社 2006 年出版。第一—三章。(亦有英文版)

Gellner, Ernest. *Nations and Nationalism. New Perspectives on the Past*. Ithaca:

Cornell University Press, 1983.

Geertz, Clifford. "The Integrative Revolution: Primordial Sentiments and Civil Politics in the New States." In *Old Societies and New States*. Clifford Geertz ed.. pp. 105–157. Glencoe: Free Press.

Dodge, Toby. *Inventing Iraq : The Failure of Nation-Building and a History Denied*. New York: Columbia University Press, 2003.

Wimmer, Andreas. *Nationalist Exclusion and Ethnic Conflict : Shadows of Modernity*. Cambridge, UK ; New York, NY, USA: Cambridge University Press, 2002.

### 5) 12月15日：阶级分析与政治选择

必读材料：

巴林顿·摩尔著，《专制与民主的社会起源：现代世界形成过程中的地主和农民》，上海译文出版社2012年版。第七—九章。（鼓励大家看英文）

Luebbert, Gregory M. (1987). Social foundations of political order in interwar Europe. *World Politics*, 39(04), 449-478.

参考文献：

Skocpol, Theda. (1976). France, Russia, China: A structural analysis of social revolutions. *Comparative Studies in Society and History*, 18(02), 175-210.

Paige, Jeffery M. *Coffee and Power: Revolution and the Rise of Democracy in Central America*. Cambridge, Mass.: Harvard University Press, 1997.

Lieberman, Evan S. (2001). National Political Community and the Politics of Income Taxation in Brazil and South Africa in the Twentieth Century. *Politics & Society*, 29(4), 515-555.

Brenner, Robert. "Agrarian Class Structure and Economic Development in Pre-Industrial Europe." *Past & Present*, no. 70 (1976): 30-75.

Introduction and ch5 from Collier, Ruth Berins. *Paths toward Democracy: The Working Class and Elites in Western Europe and South America*. New York: Cambridge University Press, 1999.

### 6) 12月22日：福利国家的政治

必读书目：

Introduction and ch2, 5-7, from Skocpol, Theda. (1992). *Protecting soldiers and mothers : the political origins of social policy in the United States*. Cambridge, Mass.: Belknap Press of Harvard University Press.

参考文献：

Somers, Margaret R. "Citizenship and the Place of the Public Sphere: Law, Community, and Political Culture in the Transition to Democracy." *American Sociological Review* 58, no. 5 (1993): 587-620.

Esping-Andersen, Gøsta. *The three worlds of welfare capitalism*. Princeton University Press, 1990.

- Quadagno, Jill. 1984. "Welfare Capitalism and the Social Security Act of 1935." *American Sociological Review* 49: 632-647.
- Quadagno, Jill. 1985. "Two Models of Welfare State Development: Reply to Skocpol and Amenta." *American Sociological Review* 50: 575-578.
- O'Connor, Julia S., Orloff, Ann Shola and Sheila Shaver. 1999. States, markets, families: gender, liberalism, and social policy in Australia, Canada, Great Britain, and the United States. Cambridge: Cambridge University Press.
- Orloff, Ann and Theda Skocpol. 1984. "Why Not Equal Protection: Explaining the Politics of Public Social Spending in Britain, 1900-1911, and the United States, 1880s-1920." *American Sociological Review* 49:726-750.
- Domhoff, G. William. 1986. "Welfare Capitalism and the Social Security Act of 1935." *American Sociological Review* 51: 445-446.
- Jenkins, J. Craig and Barbara Brents. 1989. "Social Protest, Hegemonic Competition and Social Reform: The Political Origins of the American Welfare State." *American Sociological Review* 54:891-909.

7) 12月29日：重构时间性——新历史社会学

必读书目：

Sewell Jr, William H. *Logics of history: Social theory and social transformation*. University of Chicago Press, 2005. Intro and Ch3,4,8

参考文献：

- Pierson, Paul. *Politics in time: History, institutions, and social analysis*. Princeton University Press, 2011.
- Adams, Julia, Elisabeth Stephanie Clemens, and Ann Shola Orloff, eds. *Remaking modernity: politics, history, and sociology*. Vol. 14. Durham, NC: Duke University Press, 2005.
- Ermakoff, Ivan. *Ruling oneself out: A theory of collective abdications*. Duke University Press, 2008.
- Ermakoff, Ivan. "The structure of contingency." *American Journal of Sociology* 121.1 (2015): 64-125.
- Kurzman, Charles. *The unthinkable revolution in Iran*. Harvard University Press, 2009.

8) 2021年1月5日：理念、知识与政策

必读书目：

Blyth, Mark. *Great Transformations : Economic Ideas and Institutional Change in the Twentieth Century*. New York: Cambridge University Press, 2002, Chapter 1,3,5,6

参考文献：

- Mudge, S.L. *Leftism Reinvented: Western Parties from Socialism to Neoliberalism*. Cambridge: Harvard University Press, 2018.
- Eyal, Gil. "Dangerous Liaisons between Military Intelligence and Middle Eastern Studies in Israel." *Theory and Society* 31, no. 5 (2002): 653-93.

- Medvetz, Thomas. *Think Tanks in America*. Chicago: University of Chicago Press, 2012.
- Mitchell, Timothy. *Rule of Experts: Egypt, Techno-Politics, Modernity*. Berkeley: University of California Press, 2002.
- Babb, Sarah L. *Managing Mexico: Economists from Nationalism to Neoliberalism*. Princeton: Princeton University Press, 2001.
- Ghosh, Arunabh. *Making It Count: Statistics and Statecraft in the Early People's Republic of China*. Princeton: Princeton University Press, 2020.
- 郦菁：《知识研究与历史社会学》，《清华社会学评论》第十二辑，2019年12月

9) 1月12日：法西斯主义

必读书目：

Mann, Michael. *Fascists*. Cambridge: Cambridge University Press, 2004, 1-2章, 4-5章

参考文献：

- Mann, Michael. *The Dark Side of Democracy : Explaining Ethnic Cleansing*. New York: Cambridge University Press, 2005.
- Berman, Elizabeth Popp. "Before the Professional Project: Success and Failure at Creating an Organizational Representative for English Doctors." *Theory and Society* 35, no. 2 (2006): 157-91.
- Riley, Dylan. "Civic Associations and Authoritarian Regimes in Interwar Europe: Italy and Spain in Comparative Perspective." *American Sociological Review* 70, no. 2 (2005): 288-310.
- Aly, Götz. *Hitler's Beneficiaries : Plunder, Racial War, and the Nazi Welfare State*. 1st U.S. ed. New York: Metropolitan, 2007.
- Poulantzas, Nicos Ar. *Fascism and Dictatorship : The Third International and the Problem of Fascism*. London: NLB, 1974.
- Linz, J. 1976. "Some notes toward a comparative study of fascism in sociological historical perspective." In W. Laqueur (ed.), *Fascism: A Reader's Guide*. Berkeley: University of California Press.
- Wakeman, Frederic. "A Revisionist View of the Nanjing Decade: Confucian Fascism." *The China Quarterly* no. 150 (1997): 395-432.
- [日]大貫惠美子著, 石峰译. 神风特攻队、樱花与民族主义: 日本历史上美学的军国主义化. 商务印书馆, 2016.